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BEFORE THE ARIZONA CORPORATION COMMISSION

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WILLIAM A. MUNDELL **CHAIRMAN** JIM IRVIN

COMMISSIONER MARC SPITZER

COMMISSIONER

Arizona Corporation Commission

DOCKETED

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AZ CORP COMMISSION DOCUMENT CONTROL

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IN THE MATTER OF THE.

CARL DELANO WOODARD aka: CARL WOODWARD 3065 West Ironwood Circle Chandler, AZ 85226

FOURTH PROCEDURAL ORDER

BY THE COMMISSION:

On June 20, 2002, the Securities Division ("Division") of the Arizona Corporation Commission ("Commission") filed a Notice of Opportunity for Hearing ("Notice") against Carl Delano Woodard, aka Carl Woodward ("Respondent"), in which the Division alleged multiple violations of the Arizona Securities Act ("Act") in connection with the offer and sale of securities in the form of certificates of participation in a profit-sharing arrangement and/or investment contracts.

The Respondent was duly served with a copy of the Notice.

On July 20, 2002, a request for hearing was filed for Respondent.

On July 16, 2002, by Procedural Order, a pre-hearing conference was scheduled on August 6, 2002.

On July 24, 2002, Respondent's counsel filed a Request for Continuance ("Request") indicating a possible scheduling conflict due to trial scheduled for the preceding week. The Division did not object to the Request.

On July 31, 2002, by Procedural Order, the proceeding was continued to August 8, 2002.

On August 8, 2002, at the pre-hearing conference, the Division and the Respondent appeared with counsel. The Division requested a continuance to allow for the replacement of counsel who was retiring from the Commission. Respondent did not object to this request and the parties agreed upon a new date and time for a pre-hearing conference.

On August 8, 2002, by Procedural Order, the pre-hearing conference was continued.

On September 3, 2002, a pre-hearing conference was held with the Division and the

Respondent present with counsel. The parties agreed to attempt to resolve the issues raised in the Notice by entering into a Consent Order for the Commission's approval. In the event that the parties 2 do not reach a resolution or the Commission does not approve a proposed Consent Order, the parties 3 agreed to a hearing being scheduled to commence on December 3, 2002. 4 Accordingly, a hearing should be scheduled. 5 IT IS THEREFORE ORDERED that a hearing shall be held on December 3, 2002 at 9:30 6 a.m., at the Commission's offices, 1200 West Washington Street, Phoenix, Arizona. 7 IT IS FURTHER ORDERED that the parties shall also set aside December 4 and 5, 2002 for 8 additional days of hearing, if necessary. IT IS FURTHER ORDERED that the parties shall exchange Witness and Exhibit Lists not 10 later than November 15, 2002, with a copy of same being provided to the presiding Administrative 11 12 Law Judge. day of September, 2002. DATED this 13 14 15 16 ADMINISTRATIVE LAW JUDGE Copies of the foregoing mailed/delivered 17 this 44h day of September, 2002 to: 18 Booker T. Evans, Jr. 19 Brian R. Booker **QUARLES & BRADY STREICH LANG, LLP** 20 Two North Central Phoenix, AZ 85004-2391 21 Attorneys for Respondent 22 Moira McCarthy 23 Assistant Attorney General ARIZONA ATTORNEY GENERAL'S OFFICE 24 1275 West Washington Street Phoenix, AZ 85007 25 W. Mark Sendrow, Director 26 Securities Division ARIZONA CORPORATION COMMISSION

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1300 West Washington Street

Phoenix, AZ 85007

ARIZONA REPORT SERVICE, INC. 2627 N. Third Street, Ste. Three Phoenix, AZ 85004 By: Molly Johnson Secretary to Marc E. Stern